

ATTY DOCKET NO.  
35.C15388APPLICATION NO.  
09/960,744

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Submitted to the PTO: November 20, 2001

NOV 20 2001

APPLICANTS  
**TETSUYA KANEKO, ET AL.**FILING DATE  
**September 24, 2001**GROUP  
**2879**

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE    | NAME           | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|---------|----------------|-------|----------|----------------------------|
| K/N               | 5,185,554       | 02/1993 | Nomura, et al. | 313   | 495      |                            |
| K/N               | 5,209,687       | 05/1993 | Konishi        | 445   | 6        |                            |
| K/N               | 6,627,436       | 05/1997 | Suzuki, et al. | 315   | 169.1    |                            |
| K/N               | 5,821,689       | 10/1998 | Andoh, et al.  | 313   | 495      |                            |
| K/N               | 5,828,352       | 10/1998 | Nomura, et al. | 345   | 74       |                            |
| K/N               | 5,925,979       | 07/1999 | Azuma, et al.  | 313   | 495      |                            |

## FOREIGN PATENT DOCUMENTS

|     | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
|-----|-----------------|---------|---------|-------|----------|---------------------------------|
| K/N | 11-135018       | 05/1999 | Japan   |       |          | Abstract                        |
| K/N | 4-249827        | 09/1992 | Japan   |       |          | Abstract                        |
| K/N | 8-96700         | 04/1996 | Japan   |       |          | Abstract                        |
| K/N | 0 767 481       | 04/1997 | Europe  |       |          | RECD                            |
| K/N | 0 785 564       | 07/1997 | Europe  |       |          | RECD                            |

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| K/N | M. Hartwell, et al., "Strong Electron Emission From Patterned Tin-Indium Oxide Thin Films", International Electron Devices Meeting, pp. 519-521 (1975).                            |
| K/N | G. Dittmer, "Electrical Conduction and Electron Emission of Discontinuous Thin Films", Thin Solid Films, pp. 317-328 (1972).   |
| K/N | C.A. Mead, "Operation of Tunnel-Emission Devices", Journal of Applied Physics, Vol. 32, No. 4, pp. 646-652 (April 1961).   |
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EXAMINER

KENNETH J. RAMSEY  
PRIMARY EXAMINER

DATE CONSIDERED

9/2003

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

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| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| K/H               | 6,011,567       | 01/2000 | Nakamura, et al.  | 347   | 115      |                            |
| K/H               | 6,254,449       | 07/2001 | Nakanishi, et al. | 445   | 25       |                            |
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| K/H | H. Araki, et al., "Electroforming and Electron Emission of Carbon Thin Films", pp. 22-29 (January 26, 1983).  |
| K/H | M.I. Elinson, et al., "The Emission of Hot Electrons and the Field Emission of Electrons From Tin Oxide", Radio Engineering and Electronic Physics, No. 7, pp. 1290-1296 (July 1965). |
| K/H | W.P. Dyke, et al., "Field Emission", Advances in Electronics and Electron Physics, Vol. VIII, pp. 89-185 (1956).  |
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